

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Ralph H. Johnson et al.

Examiner: unknown

Serial No.: 10/617,290

Group Art Unit: unknown 2828

Filed: July 10, 2003

For: VERSATILE METHOD AND SYSTEM FOR SINGLE MODE VCSELS

Docket No.: H26341-D1 (1139.1124103)

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

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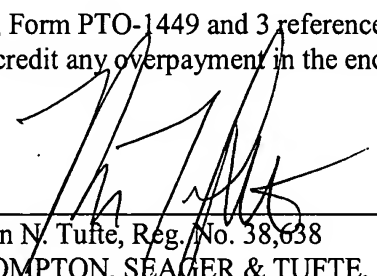
**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:  
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450,  
on this 24th day of September, 2003.

By: \_\_\_\_\_

Brian N. Tufte

We are transmitting herewith the attached:

- [X] Information Disclosure Statement, Form PTO-1449 and 3 references attached.  
[X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

  
\_\_\_\_\_  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

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By: \_\_\_\_\_

Brian N. Tufte

Dear Sir/Madame:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Ralph H. Johnson et al.

By their attorney, \_\_\_\_\_

Dated: September 24, 2003

\_\_\_\_\_  
Brian N. Tufte, Reg. No. 38,638  
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<b>FORM PTO-1449</b> <b>Page 1 of 1</b>	Atty. Docket No.: H26341 D1 (1139.1124103)		Serial No.: 10/617,290
	Applicant: Ralph H. Johnson et al.		
	Filing Date		Group Art:
	July 10, 2003		unknown

LIST OF PATENTS AND PUBLICATIONS FOR  
 APPLICANT'S INFORMATION  
 DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation Yes No
	EP 0822630	02/04/1998	EP	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

		Tai K., et al., "90% Coupling of Top Surface Emitting GAAS/ALGAAS Quantum Well Laser Output Into 8M Diameter Core Silica Fibre," Electronics Letters, IEE Stevenage, GB, vol. 26, no. 19, September 13, 1990, pp. 1628-1629.
		Zhou D., et al., "Simplified-Antiresonant Reflecting Optical Waveguide-Type Vertical-Cavity Surface-Emitting Lasers," Applied Physics Letters, American Institute of Physics, New York, vol. 76, no. 13, March 27, 2000, pp. 1659-1661.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.